

ER 2850X/1

OFFICE OF CONGRESSIONAL AFFAIRS

Routing Slip

	ACTION	INFO
1. D/OCA		X
2. DD/Legislation		X
3. DD/Senate Affairs		X
4. Ch/Senate Affairs		
5. DD/House Affairs		X
6. Ch/House Affairs		
7. Admin Officer		
8. FOIA Officer		
9. Constituent Inquiries Officer		
10. GAO 	XX	
11.		
12.		

SUSPENSE

18 AUG 88

Date

Action Officer:

Remarks:

Coordinated w/

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11 AUG 88

Name/Date

Done
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~~EXECUTIVE SECRETARY~~

ROUTING SLIP

TO:

		ACTION	INFO	DATE	INITIAL
1	DCI				
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3	EXDIR				
4	D/ICS				
5	DDI				
6	DDA		X		
7	DDO				
8	DDS&T				
9	Chm/NIC				
10	GC				
11	IG				
12	Compt				
13	D/OCA	X			
14	D/PAO				
15	D/PERS				
16	D/Ex Staff				
17	D/Security		X		
18	D/OIT/DA		X		
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SUSPENSE		_____			
		Date			

Remarks


Executive Secretary

10 Aug '88

Date

2427 (10-81)

GAO

United States
General Accounting Office
Washington, D.C. 20548

Executive Registry

88-2850X/1

Information Management and
Technology Division

AUG 3 1988

WILLIAM H. WEBSTER
DIRECTOR
CENTRAL INTELLIGENCE AGENCY
WASHINGTON, DC 20505

OCA FILE *GAO*

On July 18, 1988, we sent you a questionnaire intended to determine federal agencies' compliance with section 6(a) of the Computer Security Act of 1987. Section 6(a) is aimed at the identification of federal computer systems which contain sensitive information.

We have not yet received your response to this questionnaire. Therefore, we are again sending the enclosed questionnaire requesting the information. Please complete and return the questionnaire in the enclosed envelope within ten days of receipt. If you have already responded, please disregard this second notice.

We will be reporting on the information received from federal agencies concerning their compliance with section 6(a) after this final deadline. If you do not reply within ten days of receipt, your agency will be noted as not responding.

Thank you for your cooperation.

Sincerely yours,

Howard Rhile

Howard Rhile
Associate Director



United States
General Accounting Office
Washington, D.C. 20548

Information Management and
Technology Division

The United States General Accounting Office (GAO) is part of the legislative branch and assists in congressional oversight of the executive branch of the federal government. GAO's major responsibilities include evaluating and auditing programs, activities and financial operations of federal departments and agencies and making recommendations for improving government operations. Recently, the Chairmen of the House Committees on Government Operations and Science, Space, and Technology asked us to ascertain the extent to which federal agencies are complying with the requirements of the Computer Security Act of 1987, Public Law 100-235, January 8, 1988.

In order to obtain information on the status of compliance, we will be sending three questionnaires to federal agencies. The first, which is enclosed, addresses the requirements of section 6(a) of the act. Section 6(a) requires federal agencies, by July 8, 1988, to identify federal computer systems, including systems under development, which are within or under the agency's supervision, and which contain sensitive information. Two additional questionnaires, to follow after subsequent milestones in the act, will address sections 5(b) and 6(b) of the act. These sections are aimed at the establishment of computer security training and computer security plans respectively, for sensitive systems.

This questionnaire is being sent to you as the senior information resource management official (or comparable official) in your agency. Please complete and return the questionnaire in the enclosed envelope within 10 days of receipt. Please be sure to include in your response information for each office, bureau, service, etc. within your agency. If you have any questions, call David Gill or Michael Jarvis on (202) 275-9675.

Thank you for your cooperation.

Sincerely yours,

A handwritten signature in cursive script that reads "Howard Rhile".

Howard Rhile
Associate Director

U.S. General Accounting Office
COMPUTER SECURITY ACT OF 1987 QUESTIONNAIRE

The U.S. General Accounting Office (GAO) has been requested by the Chairmen of the House Committees on Government Operations and Science, Space, and Technology to review whether federal agencies are complying with the requirements of the Computer Security Act of 1987, Public Law 100-235, enacted January 8, 1988. We are using this questionnaire to obtain information from federal agencies on the status of their compliance with section 6(a) of the act. Section 6(a) requires federal agencies, within 6 months after the enactment (i.e., July 8, 1988), to identify federal computer systems as defined in the act, including systems under development, that are within or under the agency's supervision, and that contain sensitive information as defined in the act. See the attachment to this questionnaire for the definition of terms as stated in the act. Please return the completed questionnaire in the enclosed self-addressed envelope within ten days after receiving it. If the return envelope has been lost, please send the completed questionnaire to Loraine Przybylski, U.S. General Accounting Office, 441 G St., N.W., Room 6075, Washington, D.C., 20548. If you have any questions, please call David Gill or Michael Jarvis at (202) 275-9675. Thank you.

-
1. Agency name _____
 2. Agency address _____

 3. Write the name of the responsible official to contact for additional information, if needed.
 Name _____
 Address _____

 Telephone Number _____
 4. As of July 8, 1988, had your agency identified each federal computer system that contains sensitive information, including each system under development, which is within or under the supervision of your agency? Consider only systems that belong to your agency regardless of whether you or someone else operates the system. Exclude systems that you operate for another agency.

(CHECK ONE)

- ☐ Yes
☐ No
☐ My agency is not subject to the act. (Please provide explanation.)

5. List the total number of your agency's federal computer systems, as you defined them for purposes of compliance with the Computer Security Act of 1987, regardless of your answer to question 4. Of these, indicate the number containing sensitive information that are operated by each of the agencies or parties listed in column 1. Consider only your agency's federal computer systems that are operational or under development, and are within or under the supervision of your agency. Write the numbers in column 2 and 3. If none write none.

(1) AGENCIES OR PARTIES OPERATING YOUR AGENCY'S SYSTEM ON YOUR BEHALF	NUMBER OF FEDERAL COMPUTER SYSTEMS	
	(2) TOTAL SYSTEMS	(3) WITH SENSITIVE INFORMATION
Your agency	_____	_____
Another federal agency	_____	_____
Contractors	_____	_____
Grantees	_____	_____
State or local governments	_____	_____
Other (Specify) _____ _____	_____	_____
Totals	_____	_____

6. Does the information provided in question 5 include all systems covered by the Computer Security Act of 1987?

(CHECK ONE)

_____ Yes (GO TO QUESTION 8)

_____ No (GO TO QUESTION 7)

7. a) Approximately what percentage of your agency's systems have been reviewed in order to identify systems that contain sensitive information?

_____ %

- b) Estimate the month and year you expect the remainder of the systems containing sensitive information will be identified. _____ / _____

mo yr

(GO TO QUESTION 8)

8. In the column spaces below or on a separate list, please provide the following information on your agency's computer systems: 1) name, 2) operator (the organization and unit responsible for operating the system), 3) operational status (design stage, under development, operational), 4) whether it has been designated as a sensitive system, and 5) type of system (major application or general purpose). If you already have a listing of this information, please attach the listing to the back of this questionnaire instead of filling in the information below. We will treat the listing with whatever degree of confidentiality you indicate. If you are unable to provide this information within ten days after receiving the questionnaire, please send in the partially completed questionnaire within the due date and the information for question eight when available.

YOUR AGENCY'S FEDERAL COMPUTER SYSTEMS

NAME	OPERATOR	OPERATIONAL STATUS	CONTAINS SENSITIVE INFORMATION		TYPE
			YES	NO	

9. Does your agency have administratively developed criteria or other guidelines, in addition to the criteria in the Computer Security Act of 1987, that are used to identify federal computer system, sensitive information, and system under development. Check yes or no for each criteria.

CRITERIA	YES	NO
1. Identification of federal computer system		
2. Identification of sensitive information		
3. Identification of systems under development		

10. If yes, to any of the criteria for identifying a federal computer system, sensitive information, or system under development, please attach a copy of the criteria used to identify this information or write them in the space below.

11. If you have any comments about any of the questions on this form or if you have any comments about questions you believe we should have asked but did not, please write them below.

Thank you for your cooperation.

ATTACHMENT

DEFINITION OF TERMS AS STATED IN THE COMPUTER SECURITY ACT OF 1987

Computer system - any equipment or interconnected system or subsystems of equipment that is used in the automatic acquisition, storage, manipulation, management, movement, control, display, switching, interchange, transmission, or reception, of data or information. This includes computers; ancillary equipment; software, firmware, and similar procedures; services, including support services; and related resources as defined by regulations issued by the Administrator for General Services pursuant to section 111 of the Federal Property and Administrative Services Act of 1949.

Federal computer system - a computer system operated by a Federal agency or by a contractor of a Federal agency or other organization that processes information (using a computer system) on behalf of the Federal Government to accomplish a Federal function. This includes automatic data processing equipment as that term is defined in section 111 (a) (2) of the Federal Property and Administrative Services Act of 1949.

Operator of a Federal computer system - a Federal agency, contractor of a Federal agency, or other organization that processes information using a computer system on behalf of the Federal Government to accomplish a Federal function.

Sensitive information - any information, the loss, misuse, or unauthorized access to or modification of which could adversely affect the national interest or the conduct of Federal programs, or the privacy to which individuals are entitled under section 552a of Title 5, United States Code (the Privacy Act), but which has not been specifically authorized under criteria established by an Executive order or an Act of Congress to be kept secret in the interest of national defense or foreign policy.

Federal agency - the meaning given such term by section 3(b) of the Federal Property and Administrative Services Act of 1949.